

JAN 14 2005

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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/721,631	
	Filing Date	11/25/2003	
	First Named Inventor	Blauvelt	
	Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	XPNT31NP

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Assignee Statement under 37 CFR 3.73(b) - copies of three executed assignments
Remarks - new power of attorney executed by Assignee (same Customer Number and correspondence address)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name			
Signature	/David S Alavi/		
Printed name	David S Alavi		
Date	01/14/2005	Reg. No.	40310

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Faxed to 703-872-9306			
Signature	/David S Alavi/		
Typed or printed name	David S Alavi	Reg No 40310	Date 01/14/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sample Form (Former PTO/SB-16) (3-3-03)

ASSIGNMENT OF APPLICATION		Docket Number (Optional) XPNT31NP
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Whereas, I/We, Henry A. Blauvelt of San Marino, CA, hereafter referred to as applicant, have invented certain new and useful improvements in Surface-mounted photodetector for an optical waveguide

☒ for which an application for a United States Patent was filed on 11/25/2003
Application Number 10/721,631

☐ for which an application for a United States Patent was executed on _____, and

Whereas, Xponent Photonics, Inc. of Monrovia, CA here referred to "assignee" whose mailing address is 425 East Huntington Drive, Monrovia, CA 91016 is desirous of acquiring the entire right, title and interest in the same;

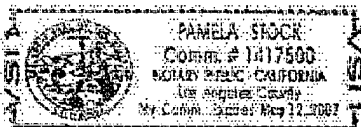
Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 29th day of December, 2004
at Monrovia, CA.

Signature
Henry A. Blauvelt

State of CALIFORNIA SS:
County of Los Angeles

Before me personally appeared
said Henry A. Blauvelt
and acknowledged the foregoing instrument
to be his free act and deed this
29th day of December, 2004



Pamela Stock

Seal:

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of 3 forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

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Sachio Form (former PTO SB/15) (03-03)

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

XRNT31NP

Whereas I/We David W. Vernoooy of Sierra Madre, CA hereafterreferred to as applicant, have invented certain new and useful improvements in
Surface-mounted photodetector for an optical waveguide☒ for which an application for a United States Patent was filed on 11/25/2003Application Number 10/721,631☐ for which an application for a United States Patent was executed on _____, andWhereas Xponent Photonics, Inc. of Monrovia, CA here referredto "assignee" whose mailing address is 425 East Huntington Drive, Monrovia CA 91016 is

desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 3rd day of JANUARY, 2005at Monrovia, CA


Signature

David W. Vernoooy

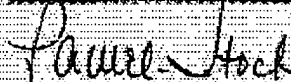
State of CALIFORNIA SS.County of Los Angeles

Printed Name/Registration No., if applicable

Before me personally appeared

said DAVID W. VERNOOY

and acknowledged the foregoing instrument to be his free act and deed this

3rd day of JANUARY, 2005


Seal

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

☒ Total of 3 forms are submitted.


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Sample Form (format PTO-SR-15) (03-03)

ASSIGNMENT OF APPLICATION		Docket Number (Optional) XPNT31NP
Whereas, I/We, <u>Joel S. Paslaski</u> of <u>Alhambra, CA</u> hereafter referred to as applicant, have invented certain new and useful improvements in <u>Surface mounted photodetector for an optical waveguide</u>		
<input checked="" type="checkbox"/> for which an application for a United States Patent was filed on <u>11/25/2003</u> Application Number <u>10/721,631</u>		
<input type="checkbox"/> for which an application for a United States Patent was executed on _____, and		
Whereas, <u>Xponent Photonics, Inc.</u> of <u>Monrovia, CA</u> here referred to "assignee" whose mailing address is <u>425 East Huntington Drive, Monrovia, CA 91016</u> is desirous of acquiring the entire right, title and interest in the same;		
Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.		
Executed this <u>29th</u> day of <u>December</u> , 20 <u>04</u> at <u>Monrovia, CA</u>		
State of <u>CALIFORNIA</u> County of <u>Los Angeles</u> SS:		<u>Joel Paslaski</u> Signature Joel S. Paslaski Printed Name/Registration No., if applicable
Before me personally appeared said <u>JOEL PASLASKI</u> and acknowledged the foregoing instrument to be his free act and deed this <u>29th</u> day of <u>December</u> , 20 <u>04</u> .		 <u>Pamela Stock</u> Seal
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.		
<input checked="" type="checkbox"/> Total of <u>3</u> forms are submitted.		

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